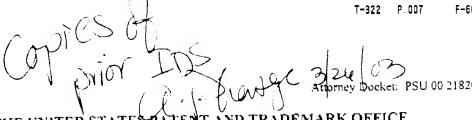
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APPLICANT:

NATAN ET AL.

SERIAL NO.:

09/677,203

FILED:

OCTOBER 2, 2000

TITLE:

METHOD OF MANUFACTURE OF COLLOIDAL ROD PARTICLES AS

NANOBAR CODES

EXAMINER:

1741 ART UNIT:

CONFIRMATION NO: 5080

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

within three months of the filing date of a national application (other than a CPA under 37 CFR § 1.53(d));

within three months of the date of entry of the national stage as set forth in 37 (b) CFR § 1.491 in an international application;

before the mailing of a first Office Action of the merits; or (c)

before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United Starts Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.G.

> Signature: Name:

Tasha L. Cove

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

Darla G. Yoerg, #48,053

Swanson & Bratschun, L.L.C.

1745 Shea Center Drive, Suite 330 Highlands Ranch, Colorado 80129

Telephone: 303

303-268-0066

F-606

Facsimile:

303-268-0065

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Express Mail No. EV 078671145 US Attorney Docket: PSU 00 2182C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	NATAN ET AL.) S EVANDIED	-	
SERIAL NO.:	09/677,203 OCTOBER 2, 2000) EXAMINER) ART UNIT:	1741	
TITLE:	METHOD OF MANUFACTURE OF COLLOIDAL ROD PARTICLES AS NANOBAR CODES) CONFIRMA	TIÓN NO:	5080

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being submitted under 37 CFR § 1.97(c). This Statement is filed after three months from the filing date of the national application, after three months from the date of entry into national stage under 37 CFR § 1.491, after the mailing of a first Office Action on the merits, or after the mailing of a first Office Action after the filing of a requested for continued examination under 37 CFR § 1.114, but before the mailing date of any of:

- (a) a final action under 37 CFR § 1.113;
- (b) a notice of allowance under 37 CFR § 1.311; or
- (c) an action that otherwise closes prosecution in the application.

Certification under 37 CFR § 1.97(e)(1)

The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees associated with this document to Deposit Account No. 19-5117.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

Date: 6/6/16 01/2002 Darla G. Yoerg, #48,053

Swanson & Bratschun, L.L.C.

1745 Shea Center Drive, Suite 330 Highlands Ranch, Colorado 80129

Telephone:

303-268-0066

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William Leschensky cc:

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SWANSON & BRATSCHUN, L.L.C.

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e-Mail: firm@sbiplaw.com

FACSIMILE COVER PAGE

TO:

Examiner Phasge

COMPANY:

PTO

FACSIMILE #:

703-872-9699

FROM:

Darla G. Yoerg

DATE:

February 6, 2003

Number of pages, including cover page 15 Faxed _____

Please call Tasha at (303) 268-0066 if you do not receive all pages or have trouble receiving this transmission.

THIS TRANSMISSION AND THE ACCOMPANYING DOCUMENTS MAY CONTAIN CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE RECIPIENT NAMED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT NAMED ABOVE, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE OR ACTION TAKEN BASED UPON THE CONTENT OF THIS TRANSMISSION IS PROHIBITED. IF YOU HAVE RECEIVED THIS INFORMATION IN ERROR, PLEASE NOTIFY OUR OFFICE IMMEDIATELY AND MAIL THIS TRANSMISSION TO OUR OFFICE.

As discussed earlier today, attached are copies of Information Disclosure Statements for you to return with signed 1149 sheets. Thank you.

Attorney Docket: PSU 002182C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

NATAN ET AL.

SERIAL NO.:

09/677,203

FILED:

OCTOBER, 2000

EXAMINER: ART UNIT:

TITLE:

METHOD OF MANUFACTURE

OF COLLOIDAL ROD

PARTICLES AS NANOBAR

CODES

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above-identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application as set forth in §1.491 or before the mailing date of a first Office Action on the merits under §1.97(b)

No fee is believed to be due in this instance, however the undersigned hereby authorizes the charge of any fees created by the filing of this document to be charged to Deposit Account No. 19-5117.

Thereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231 on

Signature:

Name: Mary Ann Holland

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. The listed patents and publications are believed of interest herein and consideration and citation as of interest by Examiner is respectfully requested.

Respectfully submitted,

Rosemary P. Kellogg, #39,726

Swanson & Bratschun, L.L.C.

1745 Shea Center Drive, Suite 330 Highlands Ranch, Colorado 80129

303-268-0066

303-268-0065 (FAX)

cc: F. Wojnicki W. Leschensky

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÷	AB	3,878,367	04/15/75	Fayling et al.			
	AC	3,897,284	07/29/75	Livesay			
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	АМ	09/677,198	10/02/00	Natan et al.			
	AN	09/676,890	10/02/00	Natan et al.			

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	AP	Al-Mawlawi et al. (1994) J. Mater. Res. 9:1014	
· · ·	AQ	Bruchez et al. (1998) Science 281:2013	
	AR	Cepak and Martin (1999) Chem. Mater. 11:1363	
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		OTHER DOCUMENTS (Including Author, Title, Date, Portional Pages, Each)
Ĭ	BE	Cepak et al. (1997) Chern. Mater. 9:1065
	BF	Cepak and Martin (1998) J. Phys. Chem. B 102:9985
	96	Davis et al. (1998) Chem. Mater. 10:2516
	BH	El-Kouedi et al. (1998) Chem. Mater. 10:3287
	ш	El Kouedi and Foss Jr. (2000) J. Phys. Chem. B. 104:4031
	ы	Martin et al. (1990) L Ara. Chem. Soc 112:8976
-	BW	Martin et al. (1981) Adv. Materials 11:1021
	BL.	Martin (1996) Chem. Mater. 8:1739
	1 34	McDade and Fulton (1997) Medical Device and Diagnostic Industry 19:75
	SEN!	Meng et al. (1998) Solid State Communications 106:215
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in .	83.	van der Zande et al. (1997) J. Phys. Chem. B. 101:852
	BS	Wang et al. (1996) Thin Solid Films 288:86
	20	Wong et al. (1996) Chem. Mater. 8:2041
	pa)	Zhang et al. (1999) Chcm. Mater. 11:1659
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T-322 P 006

PSU 002182C

DATE:

November 20, 2000

APPLICANT: NATAN ET AL.

SERIAL NO.:

09/677,203

FOR:

METHOD OF MANUFACTURE OF COLLOIDAL ROD

PARTICLES AS NANOBAR CODES

RECEIPT IS HEREBY ACKNOWLEDGED OF: INFORMATION DISCLOSURE STATEMENT; PTO FORM 1449; COPIES OF REFERENCES CITED THEREIN

Attorney Docket. PSU 00 2182C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

NATAN ET AL.

SERIAL NO.:

09/677,203

FILED: TITLE: **OCTOBER 2, 2000**

METHOD OF MANUFACTURE OF COLLOIDAL ROD PARTICLES AS

NANOBAR CODES

EXAMINER:

ART UNIT: 1741

CONFIRMATION NO: 5080

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

- (a) within three months of the filing date of a national application (other than a CPA under 37 CFR § 1.53(d));
- (b) within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application;
- (c) before the mailing of a first Office Action of the merits; or
- (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Fostal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231 on Signature:

Signature:

Name: Tasha L. Cove

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

Darla G. Yoerg, #48,053

Swanson & Bratschun, L.L.C.

1745 Shea Center Drive, Suite 330 Highlands Ranch, Colorado 80129

303-268-0066 Telephone:

Facsimile:

303-268-0065

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FROM-

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE List of Information Cited by Applicant	ATTY, DOCKET NO. PSY 00 2182C APPLICANT NATAN ET AL.	SERIAL NO. 09/677,203
Page i of 1	FILING DATE OCTOBER 2, 2000	GROUP

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line conformance and not considered. Include copy of this form with next communication to applicant.

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FROM-

FORM PTO-1449 U.S. DEPARTMENT OF	ATTY, DOCKET NO.	SERIAL NO.
COMMERCE PATENT AND TRADEMARK	PSY 00 2182C	09/677,203
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK	ATTY. DOCKET NO. PSY/00 2182C	SERIAL NO. 09/677,203
OFFICE List of Information Cited by Applicant	APPLICANT NATAN ET AL.	
Page 1 of 1	FILING DATE OCTOBER 2, 2000	GROUP

			U.S. PATEN	T DOCUMENTS			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP conformance and not considered. Include copy of this form with next communication to applicant. S:*Client Folders:\Nanoplexx2182\C\Supp 05IDS.doc

DATE: November 2, 2001

APPLICANT:

Natan et al.

SERIAL NO:

09/677,203

FOR:

Method of Manufacture of Colloidal Rod Particles as

Nanobar Codes

RECEIPT IS HEREBY ACKNOWLEDGED OF:

1) Supplemental Information Disclosure Statement: 2 pages

2) **PTO** Form 1449:

1 page

3)

Foreign Patents;

Docket:

PSU 00 2182C

